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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 535.00

Complete if Known

Application Number
Filing Date
First Named Inventor **Cung N. Phan**
Examiner Name
Group Art Unit
Attorney Docket No.

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number
Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
101 710	201 355	Utility filing fee
106 320	206 160	Design filing fee
107 490	207 245	Plant filing fee
108 710	208 355	Reissue filing fee
114 150	214 75	Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$ 355

2. EXTRA CLAIM FEES

Total Claims **19** - 20** = **0** X Fee from below = **0**
Independent Claims **2** - 3** = **0** X Fee Paid = **0**
Multiple Dependent = **0**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code (\$)	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65		Surcharge - late filing fee or oath	
127 50	227 25		Surcharge - late provisional filing fee or cover sheet	
139 130	139 130		Non-English specification	
147 2,520	147 2,520		For filing a request for ex parte reexamination	
112 920*	112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*		Requesting publication of SIR after Examiner action	
115 110	215 55		Extension for reply within first month	
116 390	216 195		Extension for reply within second month	
117 890	217 445		Extension for reply within third month	
118 1,390	218 695		Extension for reply within fourth month	
128 1,890	228 945		Extension for reply within fifth month	
119 310	219 155		Notice of Appeal	
120 310	220 155		Filing a brief in support of an appeal	
121 270	221 135		Request for oral hearing	
138 1,510	138 1,510		Petition to institute a public use proceeding	
140 110	240 55		Petition to revive - unavoidable	
141 1,240	241 620		Petition to revive - unintentional	
142 1,240	242 620		Utility issue fee (or reissue)	
143 440	243 220		Design issue fee	
144 600	244 300		Plant issue fee	
122 130	122 130		Petitions to the Commissioner	
123 50	123 50		Processing fee under 37 CFR 1.17(q)	
126 180	126 180		Submission of Information Disclosure Stmt	180
581 40	581 40		Recording each patent assignment per property (times number of properties)	
146 710	246 355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355		For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355		Request for Continued Examination (RCE)	
169 900	169 900		Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 180

SUBMITTED BY

Name (Print/Type) **CUNG N. PHAN**
Signature

Registration No. (Attorney/Agent)

Complete (if applicable)

Telephone **(714) 701-1600**
Date **12/12/00**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U S Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

In The United States Patent And Trademark Office

Mailed: December 12, 2000

Box Patent Application
Assistant Commissioner for Patents
Washington, District of Columbia 20231

Sir:

Please file the following enclosed patent application papers:

Applicant Name: Cung N. Phan


Title: IN-STORE CUSTOMER DISPLAY DEVICE AND METHOD.

- PTO Form SB/05 UTILITY PATENT APPLICATION TRANSMITTAL—1 pg.
- PTO Form SB/01 DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION—2 pgs.
- PTO Form SB/17 FEE TRANSMITTAL FOR FY 2001—1 pg.
- PTO Form SB/08A INFORMATION DISCLOSURE STATEMENT BY APPLICANT—2 pgs.
- PTO Form SB/35 REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)—1 pg.
- Specifications, Claims, and Abstract—20 pgs.
- Formal Drawings—7 pgs.
- Check #11634 for \$535.00, for:
 - \$355 for Basic Filing Fee (small entity), and
 - \$180 for Submission of Information Disclosure
- Proof of Receipt and Return Receipt Envelope Addressed to Applicant
- Applicant request under MPEP § 707.07(j): the undersigned, a pro se applicant, respectfully requests that if the Examiner finds patentable subject matter disclosed in this application, but feels that Applicant's present claims are not entirely suitable, the Examiner draft one or more allowable claims for Applicant.

Very respectfully,

Address (Send Correspondence Here):

Cung N. Phan
Sales Management Systems, Inc.
5109-C2 East La Palma Ave.
Anaheim, CA 92807


Applicant Signature: Cung N. Phan

Express Mail Label #:

EL526225424US

Date of Deposit: December 12, 2000

PROOF OF RECEIPT

The following received today: _____

Patent Application of **Cung N. Phan** for "**In-Store Customer Display And Device Method**," consisting of:

- ☐ PTO Form SB/05 UTILITY PATENT APPLICATION TRANSMITTAL—1 pg.
- ☐ PTO Form SB/01 DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION—2 pgs.
- ☐ PTO Form SB/17 FEE TRANSMITTAL FOR FY 2001—1 pg.
- ☐ PTO Form SB/08A INFORMATION DISCLOSURE STATEMENT BY APPLICANT—2 pgs.
- ☐ PTO Form SB/35 REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)—1 pg.
- ☐ Specifications, Claims, and Abstract—20 pgs.
- ☐ Formal Drawings—7 pgs.
- ☐ Check #11634 for \$535.00

One copy each of:

- ☐ U.S. Patent No. 4,833,308, pgs. 1-4; Fig. 1-2
- ☐ U.S. Patent No. 4,825,045, pgs. 1-4; Fig. 1-2
- ☐ U.S. Patent No. 5,945,975, abstract
- ☐ U.S. Patent No. 5,978,777, pgs. 1-4; Fig. 1-3
- ☐ U.S. Patent No. 4,852,042, pgs. 1-2, 7-8
- ☐ U.S. Patent No. 5,235,509 abstract
- ☐ U.S. Patent No. 6,032,126 pgs. 1-4
- ☐ U.S. Patent No. 6,003,015 pgs. 19-21
- ☐ U.S. Patent No. 4,843,547 abstract

- ☐ MICROSOFT, Hardware Requirements For Multiple Display Support In Windows 98. pgs. 1-4
- ☐ MICROSOFT How to Enable Multiple Display Support Using windows 98. pgs. 1-2
- ☐ INNOVATIVE DISPLAY TECHNOLOGIES ScanVue Price Checker/Verifier with POP Advertising Capabilities. 8 pgs.
- ☐ HYPERCON Epic by Hypercon. 1 pg.
- ☐ JULIE RITZER ROSS Touchscreen. pgs. 55, 58, and 60
- ☐ DEENA M. AMATO-McCOY Putting the Web at the Point of Sale. Pgs. 46-47
- ☐ MICROWARE SYSTEMS CORP Press Release "MobiNetix Chooses Microware Systems Corporation's OS-9 for use in First Web-Enabled Transaction Computer." 2 pgs.
- ☐ VERIFONE Introducing Omni 5200. 1 pg.